



PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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*Section*

## FEE TRANSMITTAL FOR FY 2001

**TOTAL AMOUNT OF PAYMENT (\$)** \$170.00

**Complete if Known:**

Application No. 09/751,861

Filing Date December 30, 2000

First Named Inventor Kling, Ralph

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 042390.P9656

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number \_\_\_\_\_  
Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
101	710	201	355	<u>Fee Description</u>	
106	320	206	160	Utility application filing fee	<u>Fee Paid</u>
107	490	207	245	Design application filing fee	_____
108	710	208	355	Plant filing fee	_____
114	150	214	75	Reissue filing fee	_____
				Provisional application filing fee	_____

**SUBTOTAL (1) \$ 0.00**

**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from</u>	<u>Fee Paid</u>
		<u>below</u>	
Total Claims	<u>- 20** =</u>	X	=
Independent Claims	<u>- 3** =</u>	X	=
Multiple Dependent			=

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>				
Fee	Fee	Fee			
Code	(\$)	Code	(\$)		
103	18	203	9	<u>Fee Description</u>	
102	80	202	40	Claims in excess of 20	
104	270	204	135	Independent claims in excess of 3	
109	80	209	40	Multiple dependent claim, if not paid	
110	18	210	9	**Reissue Independent claims over original patent	
				**Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) \$ 0.00**



### FEE CALCULATION (continued)

#### ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	<u>\$130.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>\$40.00</u>
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(l)	
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 170.00**

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: George B. Leavell

Date: 3/15/01

Signature: \_\_\_\_\_

Reg. Number: 45,436 Telephone Number: (408)720-3800

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,861	12/30/2000	Ralph Kling	42390.P9656

008791  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVEN  
LOS ANGELES, CA 90025

**RECEIVED**  
FEB 20 2001

*TRIE*  
CONFIRMATION NO. 8594

FORMALITIES LETTER



\*OC000000005769041\*

Date Mailed: 02/15/2001

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

**ENTERED**

FEB 21 2001

**STATUS DB-LA**

*A copy of this notice MUST be returned with the reply.*

*Heather Forder*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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FEB 22 2001

B.S. I.L. DATABASE DEPT.  
*JK*

**ENTERED**  
FEB 22 2001



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE